DrJ Research Report

DRR 1304-01

Attachment of Windows with Integral Flanges through Foam Plastic Insulating Sheathing to Wood Framing

Foam Sheathing Committee (FSC) Members

Code Compliance Process:
Installing windows with the integral flanges placed over code-compliant foam plastic insulating sheathing (FPIS)

Issue Date:
August 29, 2013

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July 1, 2020
1 Code Compliance Process Evaluated

1.1 Installing windows with the integral flanges placed over code-compliant foam plastic insulating sheathing (FPIS)

1.1.1 Fasteners are attached through the pre-punched nail slots or holes in the window flanges, through the FPIS, and into the window-opening framing members.

1.1.2 This research report is intended to supplement the window manufacturer’s installation instructions, where installation over foam sheathing is not specifically addressed, or, where addressed, as an alternative method of installation based on a commonly accepted field practice as described in Section 1.1.1.

2 Applicable Codes and Standards

2.1 Codes

2.1.1 IBC—12, 15, 18: International Building Code®

2.1.2 IRC—12, 15, 18: International Residential Code®

2.2 Standards and Referenced Documents

2.2.1 AAMA 2400: Standard Practice for Installation of Windows with a Mounting Flange in Open Stud Frame Construction for Low Wind/Water Exposure


2.2.3 ANSI/AWC NDS: National Design Specification (NDS) for Wood Construction

2.2.4 ASTM C1289: Standard Specification for Faced Rigid Cellular Polyisocyanurate Thermal Insulation Board

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1 Building codes require data from valid research reports be obtained from approved sources. Work of licensed registered design professionals (RDPs) meets the code requirements for approval by the building official.

Building official approval of a licensed RDP is performed by verifying the RDP and/or their business entity complies with all professional engineering laws of the relevant jurisdiction. Therefore, the work of licensed RDPs is accepted by building officials, except when plan (i.e. peer) review finds an error with respect to a specific section of the code. Where this DRR is not approved, the building official responds in writing stating the reasons for disapproval.

For more information on any of these topics or our mission, product evaluation policies, product approval processes, and engineering law, visit drjengineering.org or call us at 608-310-6748.

2 Unless otherwise noted, all references in this DRR are from the 2018 version of the codes and the standards referenced therein (e.g., ASCE 7, NDS, ASTM). This material, design, or method of construction also complies with the 2000-2015 versions of the referenced codes and the standards referenced therein.

3 All terms defined in the applicable building codes are italicized.
3 Evaluation Scope

3.1 The testing that serves as the basis of this evaluation is limited to one manufacturer, model, and size of window.

3.1.1 As such, this evaluation is intended as a proof of concept only and is not intended to be used as the sole means of approving a specific product for this application.

3.2 The intent of this research report is to provide proof of concept information regarding the ability of FPIS to serve as the sheathing layer installed directly behind window flanges. Due to the vast array of window manufacturers, window types, weights, attachment methods, etc., the window manufacturer should be consulted to confirm applicability with a specific window product or installation condition.

3.3 This research report examines the following structural aspects of the tested assemblies:

3.3.1 Ability of the window flanges to support the weight of the window itself

3.3.2 Ability of the fasteners to support the weight of the window

3.3.3 Ability to limit deflection of the fasteners (cantilevered through foam and into the framing) to 0.015"^4

3.3.4 Ability of the windows to resist transverse wind loading when installed over foam sheathing per ASTM E330

3.4 The following items related to window performance are outside the scope of this research report. However, many of these attributes are addressed in other testing and documentation. See individual manufacturer data for these attributes:

3.4.1 Protection from wind-borne debris where required in accordance with IRC Section R609.6^5

3.4.2 Performance of glazing installed within the window and door assemblies.

3.4.3 Air infiltration.

3.4.4 Water penetration and flashing details (refer to DRR 1205-05 for conceptual construction details)

3.5 Any code compliance issues not specifically addressed in this section are outside the scope of this DRR.

3.6 Any engineering evaluation conducted for this DRR was performed on the dates provided in this DRR and within DrJ’s professional scope of work.

4 Product Description and Materials

4.1 The window selected for this study was a Crestline, Select 200 series, single hung window.^6

4.1.1 This is a vinyl window with integral flanges.

4.1.2 The actual window dimensions are 29½" wide x 41½" tall, fitting a rough opening of 30" wide x 42" tall.

4.1.3 The flange width is 1.98".

4.1.4 The flange thickness is 0.06".

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^4 This 0.015" deflection limit is consistent with the basis of fastener shear design as applied in the NDS.

^5 2012 IRC Section R612.6

^6 While selected for this study, the window size and weight are not limiting factors in the evaluation. Performance is based on the fastener performance in the window flange with FPIS behind the flange. Fastener size is selected based on the weight of the window unit, so larger windows could be used with appropriately sized fasteners.
4.1.5 The total window weight is 27.2 lbs.

4.2 Members of the American Chemistry Council’s Foam Sheathing Committee (FSC) and the trade names of their products that meet the minimum requirements for the FPIS in this research report for use in this application are listed below.


4.2.2 BASF Corporation – Neopor®

4.2.3 DuPont de Nemours, Inc. – Super Tuff-R™, Thermax™, and Styrofoam™

4.2.4 Hunter Panels – Xci Foil (Class A), Xci CG (Class A), Xci 286, Xci Ply (Class A), Xci Foil, Xci CG, Xci Ply, and Xci NB

4.2.5 Kingspan Insulation, LLC


5 APPLICATIONS

5.1 The pertinent code sections related to this application are listed below.

5.1.1 IRC Code Sections:

R609.1 General. This section prescribes performance and construction requirements for exterior window and door installed in wall. Windows and doors shall be installed and flashed in accordance with the fenestration manufacturer’s written installation instructions. Window and door openings shall be flashed in accordance with Section R703.4. Written installation instructions shall be provided by the fenestration manufacturer for each window or door.

R609.2 Performance. Exterior windows and doors shall be designed to resist the design wind loads specified in Table R301.2(2) adjusted for height and exposure in accordance with Table R301.2(3).

R609.3 Testing and labeling. Exterior windows and sliding doors shall be tested by an approved independent laboratory, and bear a label identifying manufacturer, performance characteristics and approved inspection agency to indicate compliance with AAMA/WDMA/CSA 101/I.S.2/A440. Exterior side-hinged doors shall be tested and labeled as conforming to AAMA/WDMA/CSA 101/I.S.2/A440 or comply with Section R609.5.

R609.4 Other exterior window and door assemblies. Exterior windows and door assemblies not included within the scope of Section R609.3 or Section R609.4 shall be tested in accordance with ASTM E 330. Glass in assemblies covered by this exception shall comply with Section R308.5.

7 FPIS Types listed in this research report are minimums having a compressive strength of at least 15 psi in accordance with the referenced ASTM standards. Substitution of products with equal or greater performance shall be permitted in accordance with Section 6.3.

8 2012 IRC Section R612.1

9 2012 IRC Section R612.2

10 2012 IRC Section R612.3

11 2012 IRC Section R612.5
**R609.7.1** Anchoring requirements. Window and glass door assemblies shall be anchored in accordance with the published manufacturer’s recommendations to achieve the design pressure specified. Substitute anchoring systems used for substrates not specified by the fenestration manufacturer shall provide equal or greater anchoring performance as demonstrated by accepted engineering practice. [emphasis added]

5.2 **IBC Code Sections:**

1404.13** Exterior windows and doors.** Windows and doors installed in exterior walls shall conform to the testing and performance requirements of Section 1709.5.

1404.13.1** Installation.** Windows and doors shall be installed in accordance with approved manufacturer’s instructions. Fastener size and spacing shall be provided in such instructions and shall be calculated based on maximum loads and spacing used in the tests.

1709.5** Exterior window and door assemblies.** The design pressure rating of exterior windows and doors in buildings shall be determined in accordance with Section 1709.5.1 or 1709.5.2. For exterior windows and doors tested in accordance with Sections 1709.5.1 or 1709.5.2, required design wind pressures determined from ASCE 7 shall be permitted to be converted to allowable stress design by multiplying by 0.6.

1709.5.1** Exterior windows and doors.** Exterior windows and sliding doors shall be tested and labeled as conforming to AAMA/WDMA/CSA101/I.S.2/A440. The label shall state the name of the manufacturer, the approved labeling agency and the product designation as specified in AAMA/WDMA/CSA101/I.S.2/A440. Exterior side-hinged doors shall be tested and labeled as conforming to AAMA/WDMA/CSA101/I.S.2/A440 or comply with Section 1709.5.2. Products tested and labeled as conforming to AAMA/WDMA/CSA 101/I.S.2/A440 shall not be subject to the requirements of Sections 2403.2 and 2403.3.

1709.5.2** Exterior windows and door assemblies not provided for in Section 1709.5.1.** Exterior window and door assemblies shall be tested in accordance with ASTM E330. Structural performance of garage doors and rolling doors shall be determined in accordance with either ASTM E330 or ANSI/DASMA 108, and shall meet the acceptance criteria of ANSI/DASMA 108. Exterior window and door assemblies containing glass shall comply with Section 2403. The design pressure for testing shall be calculated in accordance with Chapter 16. Each assembly shall be tested for 10 seconds at a load equal to 1.5 times the design pressure.

5.3 Testing window assemblies for gravity loads is not specifically required by the building codes.

5.3.1 Manufacturer installation instructions generally require that the window be fully supported at the sill.

5.3.2 As a result, the manufacturer’s installation instructions and the building codes are mainly concerned with transverse wind loading and the ability of the assemblies to maintain the integrity of the building envelope in resisting penetration by wind-driven rain.

5.4 Depending on a number of factors, including the presence of a sloped sill surface for drainage or the presence of thick foam sheathing, it may be difficult or impractical to provide full support for gravity loads of the window assembly by means of a sill directly supporting the window frame (Figure 1).

5.4.1 For the application evaluated in this research report, the window frame is to some extent cantilevered outside the framing (depending on the thickness of the FPIS layer), with a worst-case assumption that support of the assembly is provided only by the fasteners extending through the window flange and FPIS into the framing.
5.5 As a result, FSC commissioned confirmatory testing to gain an understanding of the performance of a representative integral-flange window with characteristics as described in Section 4.1 when installed over a layer of FPIS meeting the minimum 15 psi compressive strength requirement of Section 4.2.

5.6 FPIS consisting of XPS, Type X (compressive strength of 15 psi) was selected as a representative product for the confirmatory testing by SBCRI.

5.6.1 Three series of tests were undertaken.

5.6.1.1 Series 1: Measurement of the long-term vertical deflection of a typical integral-flanged window with respect to the wall framing.

5.6.1.2 Series 2: Proof tests to verify that the calculations used to specify fasteners and to limit deflection to 0.015” are accurate.

5.6.1.3 Series 3: ASTM E330 wind pressure testing to confirm resistance to wind loads of a typical integral-flanged window installed over FPIS with those installed directly to framing.

5.7 Series 1

5.7.1 SBCRI testing to measure resistance to long-term deflection under self-weight.

5.7.2 Three identical window frames were constructed. Windows were purchased locally and attached as follows:

5.7.2.1 All wall framing assemblies were constructed in accordance with the fastening requirements of IRC Table R602.3(1).

5.7.2.2 The sill of the rough opening was not installed, so that no support was provided for the window other than through the fastening of the window side and top flanges. All fasteners were 0.120” diameter. 1¾” fasteners were used for the installation with no FPIS, 3” fasteners for the installation with 1” FPIS, and 3½” fasteners for the installation with 2” of FPIS. Also, no shims or supplemental anchoring devices were installed.

5.7.2.3 String pots were installed to measure the deflection at the lower corners of the window in relation to the base of the assembly.

5.7.2.4 Assembly 1: Window was attached directly to the rough opening.

5.7.2.5 Assembly 2: Layer of 1” FPIS was installed over the framing, and the window was installed with the fasteners extending through the FPIS and into the wood framing.

5.7.2.6 Assembly 3: Layer of 2” FPIS was installed over the framing, and the window was installed with the fasteners extending through the FPIS and into the wood framing.
5.7.3 The assemblies are shown in Figure 2.

![Figure 2. Long-Term Deflection Under Self-Weight Testing](image1)

5.7.4 Test results:

5.7.4.1 After two weeks, no significant movement in any of the three assemblies

5.8 Series 2

5.8.1 Proof tests conducted by SBRCI to verify that the calculations used to specify fasteners and to limit deflection to 0.015” are accurate

5.8.2 Test frames, similar to those in the Series 1 tests, were constructed. As in the earlier tests, one had the window attached through 1” of FPIS, and one had the window attached through 2” of FPIS. An example is shown in Figure 3.

![Figure 3. Structural Loading – Fastener/Flange Capacity](image2)
5.8.3 All testing was conducted using mechanical fasteners only as a worst-case installation condition; no sealant/adhesive was used in flange joints, as is normally required or practiced in the field.

5.8.4 All fasteners were 0.120" diameter. 1¾" fasteners were used for the installation with no FPIS, 3" fasteners for the installation with 1" FPIS, and 3½" fasteners for the installation with 2" of FPIS.

5.8.5 In each case, load was applied directly to the head of the window until failure of the window flange or fasteners occurred.

5.8.6 Test results:

5.8.6.1 Figure 4 shows the load deflection plots of the tests through failure. The plots show the average deflection taken from the lower left and lower right corners of the windows during the tests. Both tests reached failure with a load in the range of 3,300-3,600 lbs., showing that the window flanges and fasteners have an ultimate capacity that greatly exceeds the weight of the window and can be designed to support the weight of the windows alone. Further, the connection behavior exhibited significant resiliency and toughness in its ability to sustain load over a range of deflection up through 1½" of window movement.

5.8.6.2 Figure 5 shows the loads applied to the test windows through a deflection of 0.016" and the average deflection as measured at the bottom corners of the window.
5.8.6.3 Table 1 summarizes the structural loading required to achieve 0.015" of deflection and the corresponding load that was applied to each fastener to achieve that deflection.

**TABLE 1. TEST RESULTS COMPARING TESTED LOAD PER FASTENER WITH THE CALCULATED LOAD TO LIMIT DEFLECTION TO 0.015"**

<table>
<thead>
<tr>
<th>FPIS Thickness (in)</th>
<th>Applied Load (lb)</th>
<th>Tested Load per Fastener (lb)</th>
<th>Load per Fastener Calculated per NDS/TR12 (lb)(^1)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>158</td>
<td>6</td>
<td>5</td>
</tr>
<tr>
<td>1</td>
<td>280</td>
<td>10.7</td>
<td>9</td>
</tr>
</tbody>
</table>

\(^1\) The allowable load for these fasteners was calculated using a reduction factor of 3, versus the reduction factor of 2.2 as allowed by NDS/TR12, providing a higher factor of safety.

5.8.6.4 Table 1 also shows the calculated load in accordance with AWE TR 12 Table 1.

5.8.6.4.1 This calculation allows a designer to calculate the lateral resistance of fasteners where a gap exists between the side member load on the fastener and the main framing member.

5.8.6.4.2 In this application, the FPIS is installed in the gap between the side member load on the fastener and the main framing member.

5.8.6.5 This confirms that the calculations in NDS/TR12 conservatively estimate the deflection and can be used to limit deflection to 0.015" in this application.

5.9 Series 3

5.9.1 ASTM E330 wind pressure testing to compare the resistance to wind loads of windows installed over FPIS

5.9.1.1 An assembly with a window unit was built to the requirements of ASTM E330 and tested with 2" of FPIS applied. The test setup is shown in Figure 6.
5.9.1.2 Table 2 summarizes the maximum wind pressure experienced by the wall.

**TABLE 2. SUMMARY OF WIND PRESSURE TEST RESULTS**

<table>
<thead>
<tr>
<th>Wall Type</th>
<th>Minimum Structural Pressure Rating (STP)</th>
<th>Failure Load (psf)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2&quot; Foam Sheathing</td>
<td>37.5</td>
<td>118</td>
</tr>
</tbody>
</table>

SI: 1 in = 25.4 mm, 1 psf = 0.0479 kN/m²

5.9.1.3 The windows used in this test had a minimum design pressure (DP) rating of +/- 25 psf and a minimum structural pressure (STP) of +/-37.5 psf.

5.9.1.4 The 2" FPIS test showed significant excess capacity with respect to the code-compliant wind pressure rating for the window unit.

5.9.1.5 In addition, the tests were conducted without shims applied to jambs at the location of cross rails or any other support than the fastening of the flange through foam sheathing to the jamb studs.

6 INSTALLATION

6.1 Installation shall comply with the manufacturer's installation instructions and this DRR. In the event of a conflict between the manufacturer's installation instructions and this DRR, the more restrictive shall govern.

6.2 Proposed General Installation Requirements for Window Flange Fasteners

6.2.1 Attach to the wall framing in accordance with the more stringent of the following:

6.2.1.1 The window manufacturer's installation instructions.

6.2.1.2 The minimum fastener size and maximum spacing for attachment of windows through FPIS and into the window opening framing as required by Table 3.
table 3. Minimum Fastener Size & Maximum spacing along window flanges for attachment to wood framing through Fpis

<table>
<thead>
<tr>
<th>Minimum Fastener (or equal)</th>
<th>Thickness of Foam Sheathing (in)</th>
<th>Maximum Fastener Spacing in Flanges (in)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Width of Window Unit ≤ 3'</td>
</tr>
<tr>
<td>0.120&quot; Diameter Roofing Nail</td>
<td>½</td>
<td>16 o.c.</td>
</tr>
<tr>
<td></td>
<td>1</td>
<td>10 o.c.</td>
</tr>
<tr>
<td></td>
<td>1½</td>
<td>7 o.c.</td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>6 o.c.</td>
</tr>
</tbody>
</table>

SI: 1 in = 25.4 mm
1. Values assume integral flanges with fasteners that support 100% of window unit weight even when sill shims are installed per the manufacturer's installation instructions.
2. Table is based on a window unit weight of 7 pounds per square foot. For different weights, multiply fastener spacing by 7/w, where w is the actual weight in pounds per square foot.
3. The fastener spacings provided in this table are the maximum allowed, based on support of the window unit’s weight.
4. For wind load resistance, a lesser fastener spacing may be specified in the window manufacturer’s installation instructions.
5. The window manufacturer’s installation instructions, where more stringent, shall be followed, in the event of any conflict.
6. Spacing calculations in table assume that vertical flanges support 100% of the gravity load.

6.2.1 The referenced thickness of foam sheathing and maximum fastener spacing is shown in Figure 7.

6.2.2 As an alternative to Section 6.2.1, alternate means and methods may be used, where approved by the building official.

6.2.3 Minimum penetration of the fastener into wood framing shall be 1¼".

6.2.4 Minimum lumber shall have a specific gravity (SG) of 0.42 (SPF).

6.2.5 In no case shall fasteners be spaced greater than 16" o.c., per AAMA 2400.

6.2.6 Fastener head shall be driven flush with the surface of the window flange for a snug and smooth fit against the foam sheathing.

6.2.7 Care shall be taken to avoid overdriving the fasteners.
6.3 **General Requirements for FPIS**

6.3.1 Minimum compressive strength – 15 psi

6.3.2 Maximum foam thickness – 2"

6.3.3 Compliant with ASTM C578 or ASTM C1289, as applicable

7 **TEST ENGINEERING SUBSTANTIATING DATA**

7.1 FSC **DRR 1205-05**: Construction Details for the Use of Foam Plastic Insulating Sheathing (FPIS) in Light-Frame Construction

7.2 FSC **DRR 1303-04**: Attachment of Exterior Wall Coverings through Foam Plastic Insulating Sheathing (FPIS) to Wood or Steel Wall Framing

7.3 Test report evaluating the wind pressure resistance of windows installed over FPIS by SBCRI

7.4 Test report evaluating the resistance of fasteners in windows installed over FPIS and OSB by SBCRI

7.5 Test report evaluating creep of fasteners installed into windows over FPIS and OSB by SBCRI

7.6 Fastening Systems for Continuous Insulation, New York State Energy Research and Development Authority (NYSERDA), April 2010.


7.8 ASHRAE Journal, “Windows can be a pain”, Listiburek, April 2015.

7.9 Some information contained herein is the result of testing and/or data analysis by other sources which conform to IBC Section 1703 and relevant professional engineering law. DrJ relies on accurate data from these sources to perform engineering analysis. DrJ has reviewed and found the data provided by other professional sources to be credible.

7.10 Where appropriate, DrJ’s analysis is based on design values that have been codified into law through codes and standards (e.g., IBC, IRC, NDS®, and SDPWS). This includes review of code provisions and any related test data that aids in comparative analysis or provides support for equivalency to an intended end-use application. Where the accuracy of design values provided herein is reliant upon the published properties of commodity materials (e.g., lumber, steel, and concrete), DrJ relies upon the grade mark, stamp, and/or design values provided by raw material suppliers to be accurate and conforming to the mechanical properties defined in the relevant material standard.

8 **FINDINGS**

8.1 When connected in accordance with this research report, fastening is sufficient to limit long-term deflection due to the self-weight of the window assembly.

8.2 Proof testing of integral-flanged window assemblies described in this research report confirm the use Table 3 (based on NDS/TR12 calculations) for the attachment of windows through FPIS.

8.3 Wind pressure tests indicate that integral-flanged windows can be installed over up to 2” of FPIS, even in the absence of shims or special anchors. However, where shims or special anchors are required by the window manufacturer, the window manufacturer's installation instructions shall be followed.
8.4 *IBC Section 104.11 (IRC Section R104.11 and IFC Section 104.9 are similar)* states:

**104.11 Alternative materials, design and methods of construction and equipment.** The provisions of this code are not intended to prevent the installation of any material or to prohibit any design or method of construction not specifically prescribed by this code, provided that any such alternative has been approved. An alternative material, design or method of construction shall be approved where the building official finds that the proposed design is satisfactory and complies with the intent of the provisions of this code, and that the material, method or work offered is, for the purpose intended, not less than the equivalent of that prescribed in this code...Where the alternative material, design or method of construction is not approved, the building official shall respond in writing, stating the reasons the alternative was not approved.

8.5 This product has been evaluated in the context of the codes listed in Section 2 and is compliant with all known state and local building codes. Where there are known variations in state or local codes applicable to this evaluation, they are listed here.

8.5.1 No known variations

9 REFERENCES

9.1 The Foam Sheathing Committee (FSC) of the American Chemistry Council sponsors research and tools to support the reliable, efficient, and economic design and installation of foam sheathing. This report is developed by DrJ from a grant provided by FSC. Learn more about foam sheathing at continuousinsulation.org.

10 CONDITIONS OF USE

10.1 These products shall be installed in compliance with the window and FPIS manufacturer's instructions, applicable building code(s), and this research report.

10.2 Where required by the building official, also known as the authority having jurisdiction (AHJ) in which the project is to be constructed, this DRR and the installation instructions shall be submitted at the time of permit application.

10.3 Any generally accepted engineering calculations needed to show compliance with this DRR shall be submitted to the AHJ for review and approval.

10.4 Design loads shall be determined in accordance with the building code adopted by the jurisdiction in which the project is to be constructed and/or by the Building Designer (e.g., owner or registered design professional).

10.5 At a minimum, this product shall be installed per Section 6 of this DRR.

10.6 This product is manufactured under a third-party quality control program in accordance with *IBC Section 104.4 and 110.4 and IRC Section R104.4 and R109.2.*

10.7 The actual design, suitability, and use of this DRR, for any particular building, is the responsibility of the owner or the owner's authorized agent. Therefore, the DRR shall be reviewed for code compliance by the building official for acceptance.

11 IDENTIFICATION

11.1 The foam sheathing described in this research report is identified by a label on the board or packaging material bearing the manufacturer’s name, product name, label of the third-party inspection agency, and other information to confirm code compliance.

11.2 Additional technical information can be found at the respective FSC member websites found at fsc.americanchemistry.com/Members.

12 REVIEW SCHEDULE

12.1 For the most recent version or current status of this DRR, visit drjengineering.org or contact DrJ Engineering.